

IMSc IT (FIN) Sem.-6 Examination

FTBSC-31

Security & Market Risk Management

Time : 2-00 Hours]

May-2025

[Max. Marks : 50

Instructions:

- Figures to the right indicate Full Marks.
- Do not write anything on the question paper.
- Simple calculator is allowed. Do not use a scientific calculator.

Q.1	Compare and Contrast between Investors, Speculation and Gambling in detail.	10
	<u>OR</u>	
Q.1	What are the different Products that can be traded in the derivatives market? Write in detail.	10
Q.2	Write a detailed difference between Stock Market and Commodity Market in detail.	10
	<u>OR</u>	
Q.2	Write a detailed introduction about Indian Security Market in detail.	10
Q.3	Explain the difference between Merger and Acquisition in detail.	10
	<u>OR</u>	
Q.3	Explain the Eligibility criteria for the traders who done the trading on everyday basis in the stock market, elaborate in detail.	10
Q.4	Write a detailed note on the Security trade life cycle.	10
	<u>OR</u>	
Q.4	What is Order Management? Mention the different types of orders that can be applicable in stock market.	10
Q.5	Write the Following: (Write any 5 Out of 7) Each Question Contains 2 Marks:	10

(P.T.O)

N473-2

- 1) Interest rate futures trading is based on_____.
 - a) Notional 12 Year Coupon bearing GOI Security.
 - b) Notional 10 Year coupon bearing GOI Security.
 - c) Treasury Bills.
 - d) All of the Above.

- 2) Derivative Contracts expire on the_____.
 - a) Last Friday of the Month.
 - b) Last Thursday of the Expiry Month.
 - c) Last trading day of the Month.
 - d) Last Saturday of the Any Month.

- 3) Stock Brokers need to get registered as stock brokers with SEBI as per which regulations?
 - a) SEBI (Intermediaries) Regulations,2008.
 - b) SEBI (Stock Brokers and Sub-Brokers) Regulations,1992.
 - c) SEBI Act,1992.
 - d) SEBI ACT, 1997.

- 4) The Process of Original Trade being cancelled and the clearing agency taking over as counterparty to all traders is called_____.
 - a) Execution.
 - b) Novation.
 - c) Determination.
 - d) Guaranteeing.

- 5) Clearing members need to take membership with the_____.
 - a) Clearing Agencies.
 - b) Exchanges.
 - c) Brokers.
 - d) Depositories.

- 6) What Kind of Accounts is used to receive shares from selling clients and to send shares to buying clients?
 - a) Delivery.
 - b) Pool.
 - c) Receipt
 - d) Common.

- 7) The Facility for Modification of Mutual Fund order does not include_____.
 - a) Scheme Name.
 - b) Depository Settlement.
 - c) Symbol and Series.
 - d) All of the Above.