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0502E1450

Candidate's Seat No : _____

MCom (HPP) Sem.-1 AAA Examination

CC-4

Financial Markets

February-2025

Time : 2-30 Hours]

[Max. Marks : 70

- Q.1 Draw & Discuss Financial market as a component of financial system. 14
OR
- Q.1 Discuss nature and role of financial system. 14
- Q.2 Define money Market. Explain in detail any two Money Market Instruments. 14
OR
- Q.2 Discuss the functions of money market. 14
- Q.3 Define Capital Market. Explain the functions and features of capital market. 14
OR
- Q.3 Discuss the role of SEBI in capital market. 14
- Q.4 Listing of Securities? What are the benefits acclaimed through listing of securities? 14
OR
- Q.4 Explain the concept of 'Book Building' and 'Insider Trading' under SEBI. 14
- Q.5 Attempt any Seven out of Twelve: 14
1. Who is a regulator of money market?
(A) RBI
(B) SBI
(C) IRDA
(D) SEBI
 2. _____ Is a money market instrument.
(A) T- Bills
(B) Call Money
(C) CBLO
(D) All of the above
 3. In multiple of which amount certificate of deposits can be issued?
(A) Rs. 50,000
(B) Rs. 10,000
(C) Rs. 1,00,000

- (D) Rs. 25,000
4. The interest rate paid on call loans is known as _____.
- (A) Bank rate
 - (B) Call rate
 - (C) MIBOR rates
 - (D) Average interest rates
5. Financial intermediaries consists of :
- (A) Banks, NBFCs, mutual funds and insurance companies.
 - (B) Capital market and money market
 - (C) Primary and secondary markets.
 - (D) Financial instruments
6. A financial market :
- (A) Playing no role in payment mechanism.
 - (B) Serve as intermediary for mobilization of savings.
 - (C) A place to make buyers and sellers together.
 - (D) Playing no role in short term investment and borrowing options.
7. Who is a regulator of capital market?
- (A) RBI (B) SBI (C) IRDA (D) SEBI
8. _____ is a capital market instrument.
- (A) Shares (B) Bonds (C) Debentures (D) All of the above
9. The full form of ADR is:
- (A) Asian Depository Receipts (B) American Depository Receipts
- (C) African Depository Receipts (D) American Depository Rates
10. In secondary market, number of transactions are _____ as compared to capital market.
- (A) more (B) less (C) minimal (D) None
11. Listing of securities
- (A) increases reputation (B) reduces investment
- (C) increases brokerage (D) promotes speculation
12. Insider Trading is not prohibited according to SEBI.
- (A) True (B) False (C) Neither A nor B (D) None

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